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### **TO BE ADDRESSED**

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- Basic Concepts
- Some Challenges in SLAM
- State-of-the-art V-SLAM Systems
- Widely Used Techniques in V-SLAM
  - Tracking
  - Mapping
  - Loop Closing
  - Map Optimizing
- Fusion based dense SLAM
  - KinectFusion
  - ElasticFusion

# **Basic Concepts**

### SLAM (Simultaneous Localization and Mapping) [1]

- Estimation of the robot state (equipped with sensors).
  - Pose (position and orientation).
  - Velocity.
  - Calibration parameters.
- Construction of a model (*the map*) of the environment.

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### Basic Concepts Map representation (3D) [1]

- Different kinds of map representations.
  - Landmark-based (feature-based) sparse representations.
    - Represent the scene as a set of *sparse* landmarks.
    - Each landmark corresponds to discriminative features.
    - Point features (most widely used).
  - Raw dense representations.
    - A large unstructured set of points or polygons.
    - *surfels* used in ElasticFusion.
    - (in monocular SLAM) Direct methods.
  - Boundary and spatial-partitioning dense representations.
    - Explicitly represent surfaces (or boundaries) and volumes.
    - Simple boundary representation: plane-based models.
    - Volume representation: truncated signed-distance function (TSDF).
    - TSDF used in KinectFusion.
  - High-level object-based representations.

# **Basic Concepts**

### Map representation (3D) [1]

- Comparison between sparse and dense map representations.
  - · Feature-based approaches:
    - High speed.
    - Reliance on feature type, detection and matching thresholds.
    - Problems of incorrect correspondences.
  - Dense, direct methods:
    - Exploit all the information in the image.
    - Outperform feature-based methods in scenes with poor texture and motion blur.

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• require high computing power (GPUs) for real time performance.

### **Basic Concepts**



Figure: Left: feature-based map of a room produced by ORB-SLAM. Right: dense map of a desktop produced by DTAM.

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### Some Challenges in SLAM

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- Robust performance
- Scalability
- High level understanding of the environment

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- Robust performance
  - Data association
    - Perceptual aliasing
    - Dynamics in the environment
  - Sensor or actuator degradation



Figure: Perceptual aliasing.



Figure: Dynamics in the environment.

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Scalability



Figure: In some applications, robots need to operate for an extended period of time over large areas.

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- Scalability
  - Two ways to reduce complexity of graph optimization

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- Sparsification methods
- Multi-robot methods

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- · High level understanding of the environment
  - Semantic SLAM
  - Task related
  - Place/Object classification
  - Properties/Functions

### State-of-the-art V-SLAM Systems

| Sensor    | Мар        | SLAM (visual odometry) | published   | GPU required |
|-----------|------------|------------------------|-------------|--------------|
| monocular | sparse     | ORB-SLAM [5]           | 2015, TRO   | No           |
|           |            | SVO [7, 8]             | 2014, ICRA  | No (MAV)     |
|           | semi-dense | LSD-SLAM [6]           | 2014, ECCV  | No           |
|           | dense      | DTAM [9]               | 2011, ICCV  | Yes          |
| RGB-D     | sparse     | RGBD-SLAM [10]         | 2014, TRO   | No           |
|           | dense      | DVO [11, 12]           | 2013, IROS  | No           |
|           |            | KinectFusion [13]      | 2011, ISMAR | Yes          |
|           |            | ElasticFusion [14, 15] | 2015, RSS   | Yes          |

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# State-of-the-art V-SLAM Systems

| SLAM (visual odometry)    | dovelopers  |  |  |
|---------------------------|---|--|--|
| SEAM (VISUAI ODDITIELI y) | developers  |  |  |
| ORB-SLAM [5]              | Instituto de Investigacion en Ingenieria de Aragon    |  |  |
|                           | Universidad de Zaragoza, Spain                        |  |  |
| SVO [7, 8]                | Robotics and Perception Group                         |  |  |
|                           | University of Zurich, Switzerland                     |  |  |
| LSD-SLAM [6]              | Computer Vision Group, Department of Computer Science |  |  |
|                           | Technical University Munich, Germany                  |  |  |
| DTAM [9]                  | Robot Vision Research Group, Department of Computing  |  |  |
|                           | Imperial College London, UK                           |  |  |
| RGBD-SLAM [10]            | Department of Computer Science                        |  |  |
|                           | University of Freiburg, Germany                       |  |  |
| DVO [11, 12]              | Computer Vision Group, Department of Computer Science |  |  |
|                           | Technical University of Munich, Germany               |  |  |
| KinectFusion [13]         | Microsoft   |  |  |
| ElasticFusion [14, 15]    | Dyson Robotics Laboratory, Department of Computing    |  |  |
|                           | Imperial College London, UK                           |  |  |
|                           |   |  |  |

### Widely Used Techniques in V-SLAM

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- Tracking
- Mapping
- Loop Closing
- Map Optimizing

### Tracking

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- Feature-based method
- Direct method

### Tracking

- Feature-based method (ORB-SLAM)
  - Current camera pose prediction via a motion model.
  - Data association achieved by feature matching (ORB features).

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• Bundle Adjustment.

### Widely Used Techniques in V-SLAM Tracking

- Direct method
  - Minimize the projective photometric error.

$$T_{k,k-1} = \operatorname*{argmax}_{T} \int \delta I(T,\mathbf{u}) d\mathbf{u}$$

where

$$\delta I(T,\mathbf{u}) = I_k\left(\pi\left(T\cdot\pi^{-1}(\mathbf{u},d_u)\right)\right) - I_{k-1}(\mathbf{u})$$



### Tracking

- Direct method
  - DTAM



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### Tracking

- Direct method
  - DTAM



Figure: Plots for the pixel photometric functions.

# Widely Used Techniques in V-SLAM Mapping (Monocular SLAM)

- Depth filter (SVO)
  - Bayesian framework.
  - Initialized with a high uncertainty.
  - Depth measurement is modeled with a *Gaussian + Uniform* mixture model distribution.
  - Recursive Bayesian update.



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### Loop closing

#### Geometric-based method

• Usually for small-scale loop closure detection.

#### Appearance-based method

- Usually for large-scale loop closure detection.
- Matching between Keyframes (RGBD-SLAM)
- Bag of Words [16] (ORB-SLAM)
- FAB-MAP [17] (LSD-SLAM)



### Map Optimizing

- Pose graph optimization (RGBD-SLAM, LSD-SLAM)
- Fusion based map update (KinectFusion, ElasticFusion)

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### **Fusion based Dense SLAM**

- RGB-D sensor.
- Map-centric approach.
- Fuse the data from a moving sensor into a single global surface model, permitting accurate viewpoint-invariant localization as well as offering the potential for detailed scene understanding.

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- Two examples
  - KinectFusion
  - ElasticFusion

### **KinectFusion**

- Preliminaries.
  - 6DOF camera pose estimation at frame k

$$T_{g,k} = \begin{bmatrix} \mathbf{R}_{g,k} & \mathbf{t}_{g,k} \\ \mathbf{0}^T & \mathbf{1} \end{bmatrix} \in \mathbb{SE}_3.$$

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- $\mathbf{p}_g = \mathbf{T}_{g,k} \mathbf{p}_k$ .
- Camera calibration matrix K.
- $\mathbf{q} = \pi(\mathbf{p})$  perspective projection, where  $\mathbf{p} \in \mathbb{R}^3 = (x, y, z)^T$ ,  $\mathbf{q} \in \mathbb{R}^2 = (x/z, y/z)^T$ .
- Homogeneous vector  $\dot{\mathbf{u}} := (\mathbf{u}^T | 1)^T$ .
- Raw depth map  $R_k(\mathbf{u}) \in \mathbb{R}$ , where  $\mathbf{u} \in \mathscr{U} \subset \mathbb{R}^2$

#### **KinectFusion**



Figure: Overall system workflow of KinectFusion.

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### Fusion based Dense SLAM KinectFusion

- Dense map representation.
  - Truncated signed-distance function (TSDF). [18]
    - Global TSDF containing a fusion of frames 1,...,k

 $\mathbf{S}_k(\mathbf{p}) \mapsto [\mathbf{F}_k(\mathbf{p}), \mathbf{W}_k(\mathbf{p})],$ 

where  $F_k(\mathbf{p})$  is the truncated signed distance value,  $W_k(\mathbf{p})$  is the weight.

• A discretization of TSDF is stored in GPU.



#### **KinectFusion**

- Dense map representation.
  - Truncated signed-distance function (TSDF).
    - TSDF created from data of *k*-th frame.
    - For a point  ${\boldsymbol{p}}$  in global frame, and a raw depth map  $R_k$  with a known  $T_{g,k}$

$$\begin{split} \mathbf{F}_{\mathbf{R}_{k}}(\mathbf{p}) &= \psi(\lambda^{-1} \| \mathbf{t}_{g,k} - \mathbf{p} \|_{2} - \mathbf{R}_{k}(\mathbf{x})), \\ \lambda &= \| \mathbf{K}^{-1} \dot{\mathbf{x}} \|_{2}, \\ \mathbf{x} &= \left\lfloor \pi(\mathbf{K} \mathbf{T}_{g,k}^{-1} \mathbf{p}) \right\rfloor, \\ \psi(\eta) &= \begin{cases} \min(1, \frac{\eta}{\mu}) \operatorname{sgn}(\eta) & \text{iff } \eta \geq -\mu \\ null & otherwise \end{cases} \end{split}$$

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#### **KinectFusion**

- Dense map representation.
  - Truncated signed-distance function (TSDF).
    - De-noise the global TSDF from multiple noisy TSDF measurements.
    - Update rules

$$F_{k}(\mathbf{p}) = \frac{W_{k-1}(\mathbf{p})F_{k-1}(\mathbf{p}) + W_{R_{k}}(\mathbf{p})F_{R_{k}}(\mathbf{p})}{W_{k-1}(\mathbf{p}) + W_{R_{k}}(\mathbf{p})}$$
$$W_{k}(\mathbf{p}) = F_{k-1}(\mathbf{p}) + F_{R_{k}}(\mathbf{p})$$

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### **KinectFusion**

- Surface prediction.
  - Surface prediction from ray casting the TSDF. [19]
    - Each pixel's corresponding ray,  $T_{g,k}K^{-1}\dot{\mathbf{u}}$ .
    - March starting from minimum depth and stopping when a zero crossing is found.

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• 
$$\mathbf{R}_{g,k} \hat{\mathbf{N}}_k = \hat{\mathbf{N}}_k^g(\mathbf{u}) = v[\nabla F(\mathbf{p})], \ \nabla F(\mathbf{p}) = \left[\frac{\partial F}{\partial x}, \frac{\partial F}{\partial y}, \frac{\partial F}{\partial z}\right]^T$$

### **KinectFusion**

- Sensor pose estimation.
  - Two assumptions:
    - Small motion from one frame to the next (due to high tracking frame-rate).
    - GPU enables a fully parallelized processing pipeline.
  - Align a live surface measurement (V<sub>k</sub>, N<sub>k</sub>) against the model prediction from the previous frame (Ŷ<sub>k</sub>, Ŷ<sub>k</sub>).
  - Projective data association [20] and point-plane metric [21].
  - Global energy to minimize,

$$\mathbf{E}(\mathbf{T}_{g,k}) = \sum_{\mathbf{u} \in \mathscr{U}} \left\| (\mathbf{T}_{g,k} \dot{\mathbf{V}}_k(\mathbf{u}) - \hat{\mathbf{V}}_{k-1}^g(\hat{\mathbf{u}}))^T \hat{\mathbf{N}}_{k-1}^g(\hat{\mathbf{u}}) \right\|_2.$$

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### **KinectFusion**



Figure: Circular motion experiment.

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### **ElasticFusion**

- Preliminaries.
  - A pixel coordinate  $\mathbf{u} \in \Omega \subset \mathbb{N}^2$ .
  - Depth map  $D, d : \Omega \to \mathbb{R}$ .
  - Color image C,  $\mathbf{c} : \Omega \to \mathbb{N}^3$ .
  - 3D back-projection  $\mathbf{p}(\mathbf{u}, D) = \mathbf{K}^{-1}\mathbf{u}d(\mathbf{u})$ .
  - Perspective projection  $\mathbf{u} = \pi(\mathbf{K}\mathbf{p})$ .
  - Intensity image  $I(\mathbf{u}, C) = \mathbf{c}(\mathbf{u})^T \mathbf{i}$ ,  $\mathbf{i} = [0.114, 0.299, 0.587]^T$ .
  - Global pose of camera

$$\mathbf{P}_t = \begin{bmatrix} \mathbf{R}_t & bft_t \\ \mathbf{0}^T & 1 \end{bmatrix} \in \mathbb{S}E_3$$

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### **ElasticFusion**

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- Map representation.
  - An unordered list of surfels *M*.
  - Each surfel Ms:
    - position  $\mathbf{p} \in \mathbb{R}^3$
    - normal  $\mathbf{n} \in \mathbb{R}^3$
    - color  $\boldsymbol{c} \in \mathbb{N}^3$
    - weight  $\omega \in \mathbb{R}$
    - radius  $r \in \mathbb{R}$   $(r = \frac{d\sqrt{2}}{f|\mathbf{n}_z|})$
    - initialized timestamp t<sub>0</sub>
    - last updated timestamp t

### Fusion based Dense SLAM ElasticFusion

Pose estimation.

$$E_{track} = E_{icp} + \omega_{rgb} E_{rgb}$$

Geometric term:

$$E_{icp} = \sum_{k} \left( \left( \mathbf{v}^{k} - \exp(\hat{\boldsymbol{\xi}}) \mathbf{T} \mathbf{v}_{t}^{k} \right) \cdot \mathbf{n}^{k} \right)^{2}.$$

Photometric term:

$$E_{rgb} = \sum_{\mathbf{u}\in\Omega} \left( I(\mathbf{u}, C_t^l) - I\left(\pi(\operatorname{Kexp}(\hat{\xi})\operatorname{Tp}(\mathbf{u}, D_t^l)), \hat{C}_{t-1}^a\right) \right)^2,$$

where  $D_t^l$  and  $C_t^l$  are the current depth and color images,  $\hat{D}_{t-1}^a$  and  $\hat{C}_{t-1}^a$  are the predicted active model from the last frame.

#### **ElasticFusion**



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### **ElasticFusion**

- Local loop closure.
  - Divide *M* into two disjoint sets Θ (active set) and Ψ (inactive set) according to the timestamp *M*<sup>s</sup><sub>t</sub>.

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- Align Θ and Ψ.
- Global loop closure. [22]
  - Randomized fern encoding.

### **ElasticFusion**

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- Deformation graph.
- Each node G<sup>n</sup>:
  - timestamp  $G_{t_0}^n$
  - position  $G_g^n$
  - set of neighboring nodes  $\mathcal{N}(G^n)$
  - affine transformation  $G_{\rm R}^n$  and  $G_{\rm t}^n$

#### ElasticFusion

- Graph construction.
  - Sample from M s.t.  $|G| \ll |M|$ .
  - *G* is ordered over *n* on  $G_{t_0}^n$  s.t.  $G_{t_0}^n \ge G_{t_0}^{n-1}, ..., G_{t_0}^0$ .

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• Define  $\mathcal{N}(G^n) = G^{n\pm 1}, ..., G^{n\pm k/2}$ .

#### **ElasticFusion**

- Deformation graph.
- Deformed position of a surfel.

$$\hat{M}_{\mathbf{p}}^{s} = \sum_{n \in I(M^{s},G)} \omega^{n}(M^{s}) \left[ G_{\mathrm{R}}^{n}(M_{\mathbf{p}}^{s} - G_{g}^{n}) + G_{g}^{n} + G_{t}^{n} \right]$$
$$\hat{M}_{\mathbf{n}}^{s} = \sum_{n \in I(M^{s},G)} \omega^{n}(M^{s}) G_{\mathrm{R}}^{n-1}{}^{T} M_{\mathbf{n}}^{s}$$

where  $I(M^s, G)$  is a set of influencing nodes in graph which  $M^s$  identifies. (Algorithm 1)

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### Fusion based Dense SLAM ElasticFusion

```
Algorithm 1: Deformation Graph Application
Input: \mathcal{M}^{s} surfel to be deformed
                G set of deformation nodes
                \alpha number of nodes to explore
Output: \hat{\mathcal{M}}^s deformed surfel
do
        // Find closest node in time
       c \leftarrow \arg \min \left\| \mathcal{M}_{t_0}^s - \mathcal{G}_{t_0}^i \right\|_{1}
        // Get set of temporally nearby nodes
        T \leftarrow \emptyset
        for i \leftarrow -\alpha/2 to \alpha/2 do
          \mathcal{T}^{i+\alpha/2} \leftarrow c+i
        sort_by_euclidean_distance(\mathcal{I}, \mathcal{G}, \mathcal{M}_{p}^{s})
         // Take closest k as influencing nodes
        \mathcal{I}(\mathcal{M}^s,\mathcal{G}) \leftarrow \mathcal{I}^{0 \to k-1}
        // Compute weights
        h \leftarrow 0
        d_{max} \leftarrow \left\| \mathcal{M}_{\mathbf{p}}^{s} - \mathcal{G}_{\mathbf{g}}^{\mathcal{I}^{k}} \right\|
        for n \in \mathcal{I}(\mathcal{M}^s, \mathcal{G}) do
           \begin{aligned} & \boldsymbol{w}^{n}(\mathcal{M}^{s}) \leftarrow (1 - \left\|\mathcal{M}_{\mathbf{p}}^{s} - \mathcal{G}_{\mathbf{g}}^{n}\right\|_{2} / d_{max})^{2} \\ & \boldsymbol{h} \leftarrow \boldsymbol{h} + \boldsymbol{w}^{n}(\mathcal{M}^{s}) \end{aligned} 
        // Apply transformations
        \hat{\mathcal{M}}_{\mathbf{p}}^{s} = \sum_{n \in \mathcal{I}(\mathcal{M}^{s},\mathcal{G})} \frac{w^{n}(\mathcal{M}^{s})}{h} \left[ \mathcal{G}_{\mathbf{R}}^{n}(\mathcal{M}_{\mathbf{p}}^{s} - \mathcal{G}_{\mathbf{g}}^{n}) + \mathcal{G}_{\mathbf{g}}^{n} + \mathcal{G}_{\mathbf{t}}^{n} \right]
        \hat{\mathcal{M}}_{\mathbf{n}}^{s} = \sum_{n \in \mathcal{I}(\mathcal{M}^{s}, \mathcal{G})} \frac{w^{n}(\mathcal{M}^{s})}{h} \mathcal{G}_{\mathbf{R}}^{n-1\top} \mathcal{M}_{\mathbf{n}}^{s}
```

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### **ElasticFusion**



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#### Figure: ElasticFusion experiment.

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