Plane Feature and Projective Shadow based SLAM

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1 Camera Tracking

There are N_{π} pairs of matched planes $\{c_{\pi_i},^r \pi_i\}_{i=1,\dots,N}$ between two frames (or between the map and one frame). ${c_p^i}_{k,k}$, ${c_p^i}_{k}$, ${b_p}_{k=1,\dots,N_p^i}$ denote shadow points ${c_p^i}_{s,k}$ on plane ${c_{\pi_i}}$ with corresponding occluding points ${}^{c}P_{o,k}^{i}$ causing the shadow. $\mathbf{w} = [\mathbf{t}^T, \omega^T]^T$ denotes the 6-DoF camera pose.

The overall objective function is

$$
J(\mathbf{w}) = J_{\pi}(\mathbf{w}) + J_p(\mathbf{w})
$$
\n(1)

$$
J_{\pi}(\mathbf{w}) = \sum_{i=1}^{N} J_{\pi,i} = \sum_{i=1}^{N} \left(\Vert c_{\mathbf{n}_i} - \mathbf{R}_{cr}^T \mathbf{n}_i \Vert^2 + \left[c_{d_i} - \left(r_{d_i}^T + c_{\mathbf{n}_i}^T \mathbf{t}_{cr} \right) \right]^2 \right) \tag{2}
$$

$$
J_p(\mathbf{w}) = \sum_{i=1}^{N} \sum_{k=1}^{N_p^i} J_{p,k}^i = \sum_{i=1}^{N} \sum_{k=1}^{N_p^i} \varepsilon_k^{i} \varepsilon_k^i = \sum_{i=1}^{N} \sum_{k=1}^{N_p^i} \left({}^c \mathbf{p}_{s,k}^i - {}^c \mathbf{p}_{proj,k}^i \right)^T \left({}^c \mathbf{p}_{s,k}^i - {}^c \mathbf{p}_{proj,k}^i \right) \tag{3}
$$

$$
{}^{c}\mathbf{p}^{i}_{proj,k} = -\frac{{}^{c}d_{i}}{{}^{c}\mathbf{n}_{i}^{T}T_{cr}({}^{r}\mathbf{p}_{o,k}^{i})}T_{cr}({}^{r}\mathbf{p}_{o,k}^{i})
$$
\n(4)

1.1 Plane Parameters' Constraints on Camera Motion

$$
\Psi_{\pi} = \sum_{i=1}^{N} \left(\frac{\partial J_{\pi,i}}{\partial \mathbf{w}} \right) \left(\frac{\partial J_{\pi,i}}{\partial \mathbf{w}} \right)^{T}
$$
\n
$$
= \sum_{i=1}^{N} 4 \left[\begin{array}{cc} \binom{r d_i - c d_i}{2} c_{\mathbf{n}_i} c_{\mathbf{n}_i}^{T} & \mathbf{0}_{3 \times 3} \\ \mathbf{0}_{3 \times 3} & \binom{c_{\mathbf{n}_i} \times r_{\mathbf{n}_i}}{2} \end{array} \right] \tag{5}
$$

The matrix Ψ_{π} is actually a scatter matrix which contains information about the distribution of the gradient of $J_{\pi,i}$ w.r.t. w over all planes in the matched plane set. Performing principal component analysis upon Ψ_{π} results in

$$
\Psi_{\pi} = Q_{\pi} \Lambda_{\pi} Q_{\pi}^{T} = \begin{bmatrix} \mathbf{q}_{\pi 1} & \cdots & \mathbf{q}_{\pi 6} \end{bmatrix} \begin{bmatrix} \lambda_{\pi 1} & & \\ & \ddots & \\ & & \lambda_{\pi 6} \end{bmatrix} \begin{bmatrix} \mathbf{q}_{\pi 1}^{T} \\ \vdots \\ \mathbf{q}_{\pi 6}^{T} \end{bmatrix}
$$
(6)

where $\lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_6$ are the eigenvalues of Ψ_π , and \mathbf{q}_i are the corresponding eigenvectors, of which the first three elements are the translation components, and the last three elements are the rotation components. The eigenvector q_1 corresponding to the largest eigenvalue represents the transformation of maximum constraint. Perturbing the plane parameters by the transformation of the direction q_1 will result in the largest possible change in from among all possible transformation perturbations.

1.2 Shadow Points' Constraints on Camera Motion

$$
\Psi_p = \sum_{i=1}^{N} \sum_{k=1}^{N_p^i} \left(\frac{\partial J_{p,k}^i}{\partial \mathbf{w}} \right) \left(\frac{\partial J_{p,k}^i}{\partial \mathbf{w}} \right)^T
$$
(7)

$$
\frac{\partial J_{p,k}^i}{\partial \mathbf{w}} = -\frac{c_{d_i}}{c_{\mathbf{n}_i}^T \mathbf{p}_{o,k}^i} \begin{bmatrix} \mathbf{I}_{3\times 3} \\ r \hat{\mathbf{p}}_{o,k}^i \end{bmatrix} \begin{bmatrix} \mathbf{I}_{3\times 3} - \frac{c_{\mathbf{n}_i}^T \mathbf{p}_{o,k}^i}{c_{\mathbf{n}_i}^T \mathbf{p}_{o,k}^i} \end{bmatrix} {c_{\mathbf{p}_{s,k}^i} - c_{\mathbf{p}_{proj,k}^i}}
$$
(8)

1.3 Shadow Point Weighting

For each shadow point ${}^c\mathbf{p}_{s,k}^i$, a weight $\alpha_{p,k}^i$ is assigned to the corresponding component in the cost function \overline{N}

$$
J_p = \sum_{i=1}^{N} \sum_{k=1}^{N_p^*} \alpha_{p,k}^i J_{p,k}^i
$$
 (9)

$$
\alpha_{p,k}^i = \frac{\left(\frac{\partial J_{p,k}^i}{\partial \mathbf{w}}\right)^T \mathbf{q}_{\pi,j}}{\left|\frac{\partial J_{p,k}^i}{\partial \mathbf{w}}\right| \sqrt{\frac{\lambda_{\pi,j}}{\lambda_{\pi}}}}
$$
(10)

$$
j = \arg\max_{j} \frac{\left(\frac{\partial J_{p,k}^{i}}{\partial \mathbf{w}}\right)^{T} \mathbf{q}_{\pi,j}}{\left|\frac{\partial J_{p,k}^{i}}{\partial \mathbf{w}}\right|} \tag{11}
$$

$$
\bar{\lambda}_{\pi} = \frac{1}{6} \sum_{t=1}^{6} \lambda_{\pi, t} \tag{12}
$$

2 Plane Fusion

A plane \mathcal{P}_i

- plane parameters $\pi_i = [\mathbf{n}_i^T, d_i]^T$
- number of points $N_{\pi,i}$
- centroid $\mathbf{p}_{\pi,i}$
- covariance $\mathbf{C}_{\pi,i}$
- curvature $\rho_{\pi,i}$ ¹
- shadow points $\left\{ \mathbf{p}_{s,k}^{i}, k=1,\cdots,N_{p}^{i} \right\}$

2.1 Plane Fusion

Assuming that \mathcal{P}_i and \mathcal{P}_j need to be merged, the number of points is the sum of the point numbers of the two components, i.e., $N = N_{\pi,i} + N_{\pi,j}$. The centroid and covariance of the merged plane P are computed by (13) and (14).

$$
\mathbf{p}_{\pi} = \frac{N_{\pi,i}\mathbf{p}_{\pi,i} + N_{\pi,j}\mathbf{p}_{\pi,j}}{N_{\pi,i} + N_{\pi,j}}
$$
(13)

$$
\mathbf{C}_{\pi} = \frac{N_{\pi,i}(\mathbf{C}_{\pi,i} + \mathbf{p}_{\pi,i}\mathbf{p}_{\pi,i}^T) + N_{\pi,j}(\mathbf{C}_{\pi,j} + \mathbf{p}_{\pi,j}\mathbf{p}_{\pi,j}^T)}{N_{\pi,i} + N_{\pi,j}} - \mathbf{p}_{\pi}\mathbf{p}_{\pi}^T
$$
(14)

The plane parameters $\pi = [\mathbf{n}^T, d]^T$ and the curvature ρ_{π} can be computed using the fused centroid and covariance matrix. Let λ_{min} and \mathbf{q}_{min} be the smallest eigenvalue of

¹Note that the curvature here is just an indication that tells how a surface deviates from being a flat plane, rather than the strictly defined curvature.

Figure 2:

 \mathbf{C}_{π} and the corresponding eigenvector.

$$
\mathbf{n} = \mathbf{q}_{min}
$$

\n
$$
d = -\mathbf{p}_{\pi}^T \mathbf{n}
$$

\n
$$
\rho_{\pi} = \frac{\lambda_{min}}{\text{trace}(\mathbf{C}_{\pi})}
$$
\n(15)

3 Least Primitives for Pose Estimation

3.1 Three Planes

Three corresponding non-parallel planes $\{\tau_{\pi_i}, \varepsilon_{\pi_i}\}_{i=1,2,3}$. Let

$$
{}^{r}\mathbf{M}_{1} = \begin{bmatrix} {}^{r}\mathbf{n}_{1} & {}^{r}\mathbf{n}_{2} & {}^{r}\mathbf{n}_{3} \end{bmatrix}
$$

\n
$$
{}^{c}\mathbf{M}_{1} = \begin{bmatrix} {}^{c}\mathbf{n}_{1} & {}^{c}\mathbf{n}_{2} & {}^{c}\mathbf{n}_{3} \end{bmatrix}
$$

\n
$$
\mathbf{d} = \begin{bmatrix} {}^{c}d_{1} - {}^{r}d_{1} \\ {}^{c}d_{2} - {}^{r}d_{2} \\ {}^{c}d_{3} - {}^{r}d_{3} \end{bmatrix}
$$
\n(16)

The rotation \mathbf{R}_{cr} and translation \mathbf{t}_{cr} can be computed as

$$
\mathbf{R}_{cr} = {}^{c}\mathbf{M}_{1} {}^{r}\mathbf{M}_{1}^{-1}
$$

$$
\mathbf{t}_{cr} = {}^{c}\mathbf{M}_{1} {}^{T}\mathbf{d}
$$
 (17)

3.2 Two Planes and One Point

Two corresponding non-parallel planes $\{r_{\pi i}, \,^c \pi_i, \}_{i=1,2}$ and one corresponding point $\{ {}^{r}p_{o}, {}^{c}p_{o}\}.$ Construct three axes ${}^{r}\hat{\mathbf{x}}, {}^{r}\hat{\mathbf{y}}, {}^{r}\hat{\mathbf{z}}$ in reference coordinate system and locate the origin at ${}^{r} \mathbf{p}_{o}$.

$$
\begin{aligned}\n^r \hat{\mathbf{x}} &= -r_{\mathbf{n}_2} \\
^r \hat{\mathbf{y}} &= r_{\mathbf{n}_1} \times r_{\mathbf{n}_2} \\
^r \hat{\mathbf{z}} &= r_{\hat{\mathbf{x}}} \times r_{\hat{\mathbf{y}}}\n\end{aligned} \tag{18}
$$

The axes and the origin in current frame is constructed likewise.

$$
{}^{c}\hat{\mathbf{x}} = -{}^{c}\mathbf{n}_{2}
$$

\n
$$
{}^{c}\hat{\mathbf{y}} = {}^{c}\mathbf{n}_{1} \times {}^{c}\mathbf{n}_{2}
$$

\n
$$
{}^{c}\hat{\mathbf{z}} = {}^{c}\hat{\mathbf{x}} \times {}^{c}\hat{\mathbf{y}}
$$
\n(19)

Let

$$
{}^{r}\mathbf{M}_{2} = \begin{bmatrix} {}^{r}\hat{\mathbf{x}} & {}^{r}\hat{\mathbf{y}} & {}^{r}\hat{\mathbf{z}} \end{bmatrix}
$$

\n
$$
{}^{c}\mathbf{M}_{2} = \begin{bmatrix} {}^{c}\hat{\mathbf{x}} & {}^{c}\hat{\mathbf{y}} & {}^{c}\hat{\mathbf{z}} \end{bmatrix}
$$
\n(20)

Then the rotation \mathbf{R}_{cr} and translation \mathbf{t}_{cr} can be computed as

$$
\mathbf{R}_{cr} = {}^{c}\mathbf{M}_{2} {}^{r} \mathbf{M}_{2} {}^{T}
$$

$$
\mathbf{t}_{cr} = {}^{c}\mathbf{p}_{o} - \mathbf{R}_{cr} {}^{r} \mathbf{p}_{o}
$$
(21)

3.3 One Plane and Two Points

One corresponding plane $\{r_{\pi}, \,^c \pi, \}$ and two different corresponding points $\{r_{\mathbf{p}_{o,j}}, \,^c \mathbf{p}_{o,j}\}_{j=1,2}$ satisfying $({}^r{\bf p}_{o,1} - {}^r{\bf p}_{o,2}) \times {}^r{\bf n} \neq 0$ and $({}^c{\bf p}_{o,1} - {}^c{\bf p}_{o,2}) \times {}^c{\bf n} \neq 0$. Construct three axes ${}^r\hat{\bf x}, {}^r\hat{\bf y}, {}^r\hat{\bf z}$ in reference coordinate system and locate the origin at ${}^{r}P_{o,1}$.

$$
{}^{r}\hat{\mathbf{x}} = -{}^{r}\mathbf{n}
$$

\n
$$
{}^{r}\mathbf{y} = ({}^{r}\mathbf{p}_{o,2} - {}^{r}\mathbf{p}_{o,1}) - (({}^{r}\mathbf{p}_{o,2} - {}^{r}\mathbf{p}_{o,1}) {}^{T} {}^{r}\mathbf{n}) {}^{r}\mathbf{n}
$$

\n
$$
{}^{r}\hat{\mathbf{y}} = \frac{{}^{r}\mathbf{y}}{||{}^{r}\mathbf{y}||}
$$

\n
$$
{}^{r}\hat{\mathbf{z}} = {}^{r}\hat{\mathbf{x}} \times {}^{r}\hat{\mathbf{y}}
$$
\n(22)

The axes and the origin in current frame is constructed likewise.

$$
c\hat{\mathbf{x}} = -c_{\mathbf{n}}\nc_{\mathbf{y}} = (c_{\mathbf{p}_{o,2}} - c_{\mathbf{p}_{o,1}}) - ((c_{\mathbf{p}_{o,2}} - c_{\mathbf{p}_{o,1}})^T c_{\mathbf{n}}) c_{\mathbf{n}}\nc_{\hat{\mathbf{y}} = \frac{c_{\mathbf{y}}}{\|c_{\mathbf{y}}\|}\nc_{\hat{\mathbf{z}} = c_{\hat{\mathbf{x}}} \times c_{\hat{\mathbf{y}}}
$$
\n(23)

Let

$$
{}^{r}\mathbf{M}_{3} = \begin{bmatrix} {}^{r}\hat{\mathbf{x}} & {}^{r}\hat{\mathbf{y}} & {}^{r}\hat{\mathbf{z}} \end{bmatrix}
$$

\n
$$
{}^{c}\mathbf{M}_{3} = \begin{bmatrix} {}^{c}\hat{\mathbf{x}} & {}^{c}\hat{\mathbf{y}} & {}^{c}\hat{\mathbf{z}} \end{bmatrix}
$$
\n(24)

Then the rotation \mathbf{R}_{cr} and translation \mathbf{t}_{cr} can be computed as

$$
\mathbf{R}_{cr} = {}^{c}\mathbf{M}_{3} {}^{r} \mathbf{M}_{3}^{T}
$$

$$
\mathbf{t}_{cr} = {}^{c}\mathbf{p}_{o,1} - \mathbf{R}_{cr} {}^{r} \mathbf{p}_{o,1}
$$
(25)