# Plane Feature and Projective Shadow based SLAM

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# 1 Camera Tracking

There are  $N_{\pi}$  pairs of matched planes  $\{{}^{c}\pi_{i}, {}^{r}\pi_{i}\}_{i=1,\dots,N}$  between two frames (or between the map and one frame).  $\{{}^{c}\mathbf{p}_{s,k}^{i}, {}^{c}\mathbf{p}_{o,k}^{i}\}_{k=1,\dots,N_{p}^{i}}$  denote shadow points  ${}^{c}\mathbf{p}_{s,k}^{i}$  on plane  ${}^{c}\pi_{i}$  with corresponding occluding points  ${}^{c}\mathbf{p}_{o,k}^{i}$  causing the shadow.

 $\mathbf{w} = [\mathbf{t}^T, \omega^T]^T$  denotes the 6-DoF camera pose.

```
i
                                    plane index;
k
                                    point index;
c presuperscript
                                    current frame:
                                    reference frame;
r presuperscript
s subscript
                                    shadow point;
o subscript
                                    occluding point;
\pi_i = [\mathbf{n}_i^T, d_i]^T
                                    parameters of the i-th plane;
                                    k-th shadow points on the i-th plane;
\mathbf{p}_{s,k}^{\imath}
                                    the occluding point corresponding to {}^{c}p_{s,k}^{i};
 \begin{cases} {}^{c}\pi_{i}, {}^{r}\pi_{i} \end{cases}_{i=1,\dots,N_{\pi}}  \left\{ {}^{c}\mathbf{p}_{s,k}^{i}, {}^{c}\mathbf{p}_{o,k}^{i} \right\}_{k=1,\dots,N_{p}^{i}} 
                                    matched planes;
                                    shadow points and corresponding occluding points;
\mathbf{w} = [\mathbf{t}^T, \omega^T]^T
                                    6-DoF camera pose;
T_{cr} \in \mathbb{SE}(3)
                                    transformation from reference to current frame;
\mathbf{R}_{cr} \in \mathbb{SO}(3)
                                    rotation matrix;
\mathbf{t}_{cr} \in \mathbb{R}^3
                                    translation vector;
```

The overall objective function is

$$J(\mathbf{w}) = J_{\pi}(\mathbf{w}) + J_{p}(\mathbf{w}) \tag{1}$$

$$J_{\pi}(\mathbf{w}) = \sum_{i=1}^{N} J_{\pi,i} = \sum_{i=1}^{N} \left( \|^{c} \mathbf{n}_{i} - \mathbf{R}_{cr}^{r} \mathbf{n}_{i}\|^{2} + \left[ {}^{c} d_{i} - \left( {}^{r} d_{i} + {}^{c} \mathbf{n}_{i}^{T} \mathbf{t}_{cr} \right) \right]^{2} \right)$$
(2)

$$J_{p}(\mathbf{w}) = \sum_{i=1}^{N} \sum_{k=1}^{N_{p}^{i}} J_{p,k}^{i} = \sum_{i=1}^{N} \sum_{k=1}^{N_{p}^{i}} \varepsilon_{k}^{i}^{i} \varepsilon_{k}^{i} = \sum_{i=1}^{N} \sum_{k=1}^{N_{p}^{i}} \left( {^{c}\mathbf{p}_{s,k}^{i} - {^{c}\mathbf{p}_{proj,k}^{i}}} \right)^{T} \left( {^{c}\mathbf{p}_{s,k}^{i} - {^{c}\mathbf{p}_{proj,k}^{i}}} \right)$$
(3)

$${}^{c}\mathbf{p}_{proj,k}^{i} = -\frac{{}^{c}d_{i}}{{}^{c}\mathbf{n}_{i}^{T}T_{cr}({}^{r}\mathbf{p}_{o,k}^{i})}T_{cr}({}^{r}\mathbf{p}_{o,k}^{i})$$

$$\tag{4}$$

### 1.1 Plane Parameters' Constraints on Camera Motion

$$\Psi_{\pi} = \sum_{i=1}^{N} \left( \frac{\partial J_{\pi,i}}{\partial \mathbf{w}} \right) \left( \frac{\partial J_{\pi,i}}{\partial \mathbf{w}} \right)^{T} 
= \sum_{i=1}^{N} 4 \begin{bmatrix} (^{r}d_{i} - {^{c}d_{i}})^{2} {^{c}\mathbf{n}_{i}}^{c} \mathbf{n}_{i}^{T} & \mathbf{0}_{3\times 3} \\ \mathbf{0}_{3\times 3} & (^{c}\mathbf{n}_{i} \times {^{r}\mathbf{n}_{i}}) (^{c}\mathbf{n}_{i} \times {^{r}\mathbf{n}_{i}})^{T} \end{bmatrix}$$
(5)

The matrix  $\Psi_{\pi}$  is actually a scatter matrix which contains information about the distribution of the gradient of  $J_{\pi,i}$  w.r.t.  $\mathbf{w}$  over all planes in the matched plane set. Performing principal component analysis upon  $\Psi_{\pi}$  results in

$$\Psi_{\pi} = Q_{\pi} \Lambda_{\pi} Q_{\pi}^{T} = \begin{bmatrix} \mathbf{q}_{\pi 1} & \cdots & \mathbf{q}_{\pi 6} \end{bmatrix} \begin{bmatrix} \lambda_{\pi 1} & & \\ & \ddots & \\ & & \lambda_{\pi 6} \end{bmatrix} \begin{bmatrix} \mathbf{q}_{\pi 1}^{T} \\ \vdots \\ \mathbf{q}_{\pi 6}^{T} \end{bmatrix}$$
(6)

where  $\lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_6$  are the eigenvalues of  $\Psi_{\pi}$ , and  $\mathbf{q}_i$  are the corresponding eigenvectors, of which the first three elements are the translation components, and the last three elements are the rotation components. The eigenvector  $\mathbf{q}_1$  corresponding to the largest eigenvalue represents the transformation of maximum constraint. Perturbing the plane parameters by the transformation of the direction  $\mathbf{q}_1$  will result in the largest possible change in from among all possible transformation perturbations.

### 1.2 Shadow Points' Constraints on Camera Motion

$$\Psi_{p} = \sum_{i=1}^{N} \sum_{k=1}^{N_{p}^{i}} \left( \frac{\partial J_{p,k}^{i}}{\partial \mathbf{w}} \right) \left( \frac{\partial J_{p,k}^{i}}{\partial \mathbf{w}} \right)^{T}$$
 (7)

$$\frac{\partial J_{p,k}^{i}}{\partial \mathbf{w}} = -\frac{{}^{c}d_{i}}{{}^{c}\mathbf{n}_{i}^{Tr}\mathbf{p}_{o,k}^{i}} \begin{bmatrix} \mathbf{I}_{3\times3} \\ {}^{r}\hat{\mathbf{p}}_{o,k}^{i} \end{bmatrix} \begin{bmatrix} \mathbf{I}_{3\times3} - \frac{{}^{c}\mathbf{n}_{i}{}^{T}\mathbf{p}_{o,k}^{i}}{{}^{c}\mathbf{n}_{i}^{Tr}\mathbf{p}_{o,k}^{i}} \end{bmatrix} ({}^{c}\mathbf{p}_{s,k}^{i} - {}^{c}\mathbf{p}_{proj,k}^{i})$$
(8)

# 1.3 Shadow Point Weighting

For each shadow point  ${}^{c}\mathbf{p}_{s,k}^{i}$ , a weight  $\alpha_{p,k}^{i}$  is assigned to the corresponding component in the cost function

$$J_p = \sum_{i=1}^{N} \sum_{k=1}^{N_p^i} \alpha_{p,k}^i J_{p,k}^i \tag{9}$$

$$\alpha_{p,k}^{i} = \frac{\left(\frac{\partial J_{p,k}^{i}}{\partial \mathbf{w}}\right)^{T} \mathbf{q}_{\pi,j}}{\left|\frac{\partial J_{p,k}^{i}}{\partial \mathbf{w}}\right| \sqrt{\frac{\lambda_{\pi,j}}{\lambda_{\pi}}}}$$
(10)

$$j = \arg\max_{j} \frac{\left(\frac{\partial J_{p,k}^{i}}{\partial \mathbf{w}}\right)^{T} \mathbf{q}_{\pi,j}}{\left|\frac{\partial J_{p,k}^{i}}{\partial \mathbf{w}}\right|}$$
(11)

$$\bar{\lambda}_{\pi} = \frac{1}{6} \sum_{t=1}^{6} \lambda_{\pi,t} \tag{12}$$

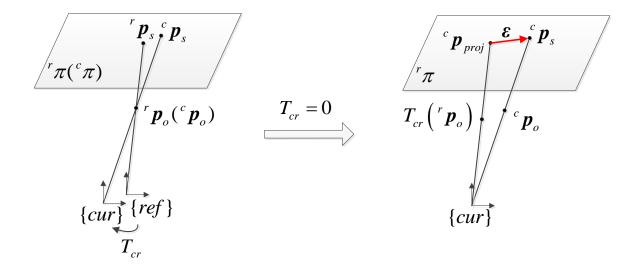


Figure 1:

## 2 Plane Fusion

A plane  $\mathcal{P}_i$ 

- plane parameters  $\pi_i = [\mathbf{n}_i^T, d_i]^T$
- number of points  $N_{\pi,i}$
- centroid  $\mathbf{p}_{\pi,i}$
- covariance  $\mathbf{C}_{\pi,i}$
- curvature  $\rho_{\pi,i}$  <sup>1</sup>
- shadow points  $\left\{\mathbf{p}_{s,k}^{i}, k=1,\cdots,N_{p}^{i}\right\}$

#### 2.1 Plane Fusion

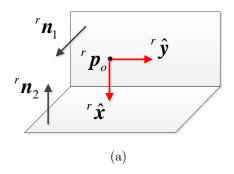
Assuming that  $\mathcal{P}_i$  and  $\mathcal{P}_j$  need to be merged, the number of points is the sum of the point numbers of the two components, i.e.,  $N = N_{\pi,i} + N_{\pi,j}$ . The centroid and covariance of the merged plane  $\mathcal{P}$  are computed by (13) and (14).

$$\mathbf{p}_{\pi} = \frac{N_{\pi,i} \mathbf{p}_{\pi,i} + N_{\pi,j} \mathbf{p}_{\pi,j}}{N_{\pi,i} + N_{\pi,j}}$$
(13)

$$\mathbf{C}_{\pi} = \frac{N_{\pi,i}(\mathbf{C}_{\pi,i} + \mathbf{p}_{\pi,i}\mathbf{p}_{\pi,i}^T) + N_{\pi,j}(\mathbf{C}_{\pi,j} + \mathbf{p}_{\pi,j}\mathbf{p}_{\pi,j}^T)}{N_{\pi,i} + N_{\pi,j}} - \mathbf{p}_{\pi}\mathbf{p}_{\pi}^T$$
(14)

The plane parameters  $\pi = [\mathbf{n}^T, d]^T$  and the curvature  $\rho_{\pi}$  can be computed using the fused centroid and covariance matrix. Let  $\lambda_{min}$  and  $\mathbf{q}_{min}$  be the smallest eigenvalue of

<sup>&</sup>lt;sup>1</sup>Note that the curvature here is just an indication that tells how a surface deviates from being a flat plane, rather than the strictly defined curvature.



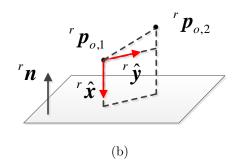


Figure 2:

 $\mathbf{C}_{\pi}$  and the corresponding eigenvector.

$$\mathbf{n} = \mathbf{q}_{min}$$

$$d = -\mathbf{p}_{\pi}^{T} \mathbf{n}$$

$$\rho_{\pi} = \frac{\lambda_{min}}{\operatorname{trace}(\mathbf{C}_{\pi})}$$
(15)

# 3 Least Primitives for Pose Estimation

### 3.1 Three Planes

Three corresponding non-parallel planes  $\{r_{\pi_i}, c_{\pi_i}, \}_{i=1,2,3}$ . Let

$${}^{r}\mathbf{M}_{1} = \begin{bmatrix} {}^{r}\mathbf{n}_{1} & {}^{r}\mathbf{n}_{2} & {}^{r}\mathbf{n}_{3} \end{bmatrix}$$

$${}^{c}\mathbf{M}_{1} = \begin{bmatrix} {}^{c}\mathbf{n}_{1} & {}^{c}\mathbf{n}_{2} & {}^{c}\mathbf{n}_{3} \end{bmatrix}$$

$$\mathbf{d} = \begin{bmatrix} {}^{c}d_{1} - {}^{r}d_{1} \\ {}^{c}d_{2} - {}^{r}d_{2} \\ {}^{c}d_{3} - {}^{r}d_{3} \end{bmatrix}$$

$$(16)$$

The rotation  $\mathbf{R}_{cr}$  and translation  $\mathbf{t}_{cr}$  can be computed as

$$\mathbf{R}_{cr} = {}^{c}\mathbf{M}_{1}{}^{r}\mathbf{M}_{1}^{-1}$$

$$\mathbf{t}_{cr} = {}^{c}\mathbf{M}_{1}^{-T}\mathbf{d}$$
(17)

# 3.2 Two Planes and One Point

Two corresponding non-parallel planes  $\{{}^r\pi_i, {}^c\pi_i, \}_{i=1,2}$  and one corresponding point  $\{{}^r\mathbf{p}_o, {}^c\mathbf{p}_o\}$ . Construct three axes  ${}^r\hat{\mathbf{x}}, {}^r\hat{\mathbf{y}}, {}^r\hat{\mathbf{z}}$  in reference coordinate system and locate the origin at  ${}^r\mathbf{p}_o$ .

$${}^{r}\hat{\mathbf{x}} = -{}^{r}\mathbf{n}_{2}$$

$${}^{r}\hat{\mathbf{y}} = {}^{r}\mathbf{n}_{1} \times {}^{r}\mathbf{n}_{2}$$

$${}^{r}\hat{\mathbf{z}} = {}^{r}\hat{\mathbf{x}} \times {}^{r}\hat{\mathbf{y}}$$

$$(18)$$

The axes and the origin in current frame is constructed likewise.

$$c^{c}\hat{\mathbf{x}} = -c^{c}\mathbf{n}_{2}$$

$$c^{c}\hat{\mathbf{y}} = c^{c}\mathbf{n}_{1} \times c^{c}\mathbf{n}_{2}$$

$$c^{c}\hat{\mathbf{z}} = c^{c}\hat{\mathbf{x}} \times c^{c}\hat{\mathbf{y}}$$

$$(19)$$

Let

$${}^{r}\mathbf{M}_{2} = \begin{bmatrix} {}^{r}\hat{\mathbf{x}} & {}^{r}\hat{\mathbf{y}} & {}^{r}\hat{\mathbf{z}} \end{bmatrix}$$

$${}^{c}\mathbf{M}_{2} = \begin{bmatrix} {}^{c}\hat{\mathbf{x}} & {}^{c}\hat{\mathbf{y}} & {}^{c}\hat{\mathbf{z}} \end{bmatrix}$$
(20)

Then the rotation  $\mathbf{R}_{cr}$  and translation  $\mathbf{t}_{cr}$  can be computed as

$$\mathbf{R}_{cr} = {}^{c}\mathbf{M}_{2}{}^{r}\mathbf{M}_{2}^{T}$$

$$\mathbf{t}_{cr} = {}^{c}\mathbf{p}_{o} - \mathbf{R}_{cr}{}^{r}\mathbf{p}_{o}$$
(21)

### 3.3 One Plane and Two Points

One corresponding plane  $\{{}^r\boldsymbol{\pi},{}^c\boldsymbol{\pi},\}$  and two different corresponding points  $\{{}^r\mathbf{p}_{o,j},{}^c\mathbf{p}_{o,j}\}_{j=1,2}$  satisfying  $({}^r\mathbf{p}_{o,1}-{}^r\mathbf{p}_{o,2})\times{}^r\mathbf{n}\neq0$  and  $({}^c\mathbf{p}_{o,1}-{}^c\mathbf{p}_{o,2})\times{}^c\mathbf{n}\neq0$ . Construct three axes  ${}^r\hat{\mathbf{x}},{}^r\hat{\mathbf{y}},{}^r\hat{\mathbf{z}}$  in reference coordinate system and locate the origin at  ${}^r\mathbf{p}_{o,1}$ .

$${}^{r}\hat{\mathbf{x}} = -{}^{r}\mathbf{n}$$

$${}^{r}\mathbf{y} = ({}^{r}\mathbf{p}_{o,2} - {}^{r}\mathbf{p}_{o,1}) - (({}^{r}\mathbf{p}_{o,2} - {}^{r}\mathbf{p}_{o,1})^{T} {}^{r}\mathbf{n}) {}^{r}\mathbf{n}$$

$${}^{r}\hat{\mathbf{y}} = \frac{{}^{r}\mathbf{y}}{\|{}^{r}\mathbf{y}\|}$$

$${}^{r}\hat{\mathbf{z}} = {}^{r}\hat{\mathbf{x}} \times {}^{r}\hat{\mathbf{y}}$$

$$(22)$$

The axes and the origin in current frame is constructed likewise.

$${}^{c}\hat{\mathbf{x}} = -{}^{c}\mathbf{n}$$

$${}^{c}\mathbf{y} = ({}^{c}\mathbf{p}_{o,2} - {}^{c}\mathbf{p}_{o,1}) - (({}^{c}\mathbf{p}_{o,2} - {}^{c}\mathbf{p}_{o,1})^{T} {}^{c}\mathbf{n}) {}^{c}\mathbf{n}$$

$${}^{c}\hat{\mathbf{y}} = \frac{{}^{c}\mathbf{y}}{\|{}^{c}\mathbf{y}\|}$$

$${}^{c}\hat{\mathbf{z}} = {}^{c}\hat{\mathbf{x}} \times {}^{c}\hat{\mathbf{y}}$$

$$(23)$$

Let

$${}^{r}\mathbf{M}_{3} = \begin{bmatrix} {}^{r}\hat{\mathbf{x}} & {}^{r}\hat{\mathbf{y}} & {}^{r}\hat{\mathbf{z}} \end{bmatrix}$$

$${}^{c}\mathbf{M}_{3} = \begin{bmatrix} {}^{c}\hat{\mathbf{x}} & {}^{c}\hat{\mathbf{y}} & {}^{c}\hat{\mathbf{z}} \end{bmatrix}$$
(24)

Then the rotation  $\mathbf{R}_{cr}$  and translation  $\mathbf{t}_{cr}$  can be computed as

$$\mathbf{R}_{cr} = {}^{c}\mathbf{M}_{3}{}^{r}\mathbf{M}_{3}^{T}$$

$$\mathbf{t}_{cr} = {}^{c}\mathbf{p}_{o,1} - \mathbf{R}_{cr}{}^{r}\mathbf{p}_{o,1}$$
(25)